AUC
Alberta Utilities Commission

Bulletin 2026-16

November 25, 2026

Enhanced information requirements for applicants for power plants and energy storage facilities

The Alberta Utilities Commission (AUC) is initiating an engagement to consider potential amendments to Rule 007: *Facility Applications* that would provide the AUC with more information on applicants' ability to responsibly develop power plants and energy storage facilities in the province.

The Alberta electricity industry has experienced significant growth and change in recent years. The number of facility applications submitted to the AUC has increased, and a larger proportion of those applications are being submitted by smaller entities, newly created project companies, and participants with limited experience developing, constructing or operating utility infrastructure. The AUC has observed instances where applicants have faced challenges advancing approved projects, meeting regulatory obligations, responding to information requests, or demonstrating the technical, financial and organizational capacity necessary to carry projects through to construction and operation.

The AUC is therefore considering amendments to Rule 007 that would establish enhanced information requirements for applicants seeking power plant and energy storage facility approvals. The objective of these changes is to reduce speculative applications, promote efficient regulatory processes, and ensure applicants have the capability to responsibly develop, construct, operate and ultimately decommission AUC-regulated infrastructure. The enhanced requirements would also apply to approval transfer applications.

The AUC is soliciting written feedback on the proposed changes.

The AUC seeks written comments on sections proposed to be added to Rule 007 in the attached appendix. The proposed changes are to ask for more detailed information on matters relevant to applicants' capacity to develop electric infrastructure in the province in a responsible manner, and are modelled in part after the Alberta Energy Regulator's Directive 067: *Eligibility Requirements for Acquiring and Holding Energy Licences and Approvals*. An applicant that is not a public utility regulated by the AUC must provide this information with every application for a power plant or energy storage facility.

This engagement is being hosted on AUC Engage. Written comments are due **February 1, 2027**.

Any questions related to this bulletin may be directed to info@auc.ab.ca or 310-4AUC (310-4282).

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Alberta Utilities Commission

Appendix

Applicant information

Provide the following information about the applicant:

- The applicant's full legal name or the full legal name of the applicant's authorized representative.
- The applicant's registered office address and principal place of business.
- The applicant's general telephone number.
- An email address designated for receipt of AUC regulatory communications.
- The applicant's Alberta corporate access number.
- A description of the applicant's corporate structure, and a complete organizational chart identifying all parent corporations, inter-corporations, subsidiaries and affiliates, together with the ownership and control relationships among them.
- Full legal name of each associated or affiliated entity, including each parent, inter-corporation and subsidiary corporation and each entity that has a common director, officer or partner, or a common shareholder that directly or indirectly holds 20 per cent or more of the outstanding voting securities of the applicant.
- Full legal name of each shareholder, including each corporate shareholder, that directly or indirectly holds more than 20 per cent of the outstanding voting securities of the applicant or any affiliate.
- Full legal name of each director. Each name, including initials, must correspond exactly with the name recorded in the Alberta Corporate Registry Computer System (CORES). Include any professional designations.
- Full legal name, title and any professional designation of each senior officer, including the chief executive officer, chief operating officer, chief financial officer and president, as applicable.
- A statement of whether any director or officer is or has been a director or officer of an entity engaged in electricity generation or the provision of electric utility service in any jurisdiction. If so, provide the following information for each position:
    - name
    - position held
    - company
    - jurisdiction
    - period during which the position was held

Director declaration

- One director and one officer of the applicant's entity must complete a declaration stating their responsibility for ensuring complete and accurate information is provided to the AUC and ensuring compliance with applicable regulatory requirements.

Regulatory compliance information

- Provide the compliance history of the applicant or approval holder, including its directors and officers in Alberta and elsewhere.
- Provide the compliance history of entities currently or previously associated or affiliated with the applicant or approval holder or its directors or officers.
- Provide details on outstanding non-compliances of current or former approval holders that are directly or indirectly associated or affiliated with the applicant, licensee, or approval holder or its directors or officers.

Project feasibility

- Provide an estimate of the project's capital cost and explain the basis for the estimate.
- Describe the proposed sources and structure of project financing.
- Describe the factors that may materially affect whether the project is constructed and operated, including factors affecting the project's economics, financing or overall commercial viability.
- State whether the applicant intends to hold the approvals, permits and licences associated with the project during construction. If not, describe the proposed arrangements for constructing the project.
- State whether the applicant intends to hold the approvals, permits and licences associated with the project during operation. If not, describe the proposed arrangements for operating the project.